

# Impacts of orientation and morphology of small atmospheric ice crystals on in-situ aircraft measurements: scattering calculations



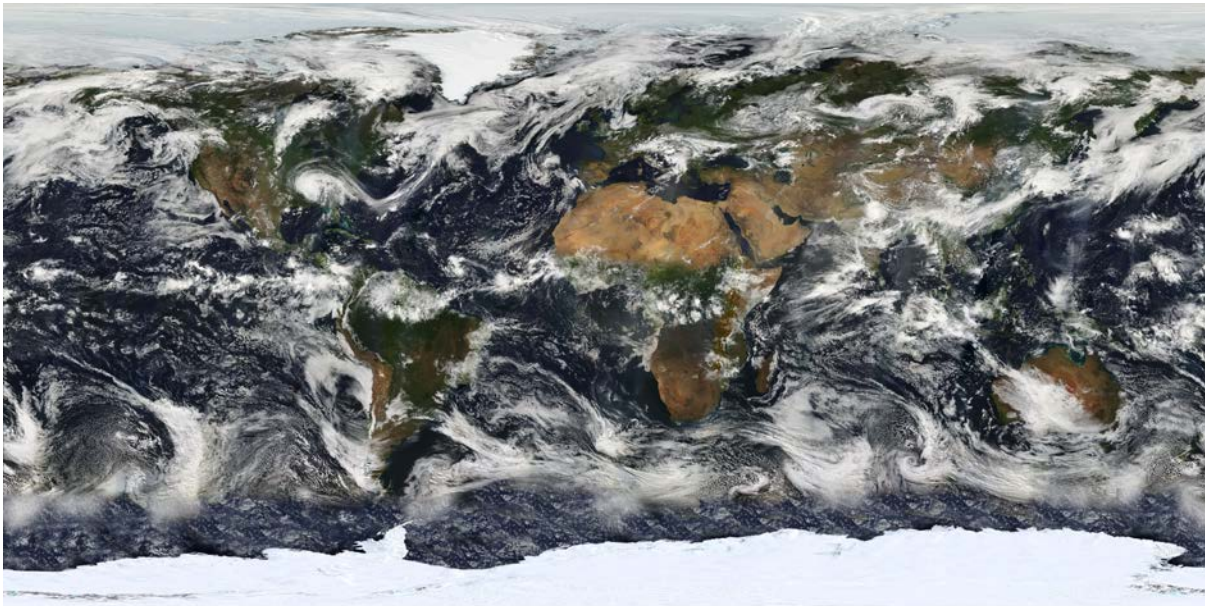
**Junshik Um (PI) and Greg McFarquhar**  
**University of Illinois at Urbana-Champaign**  
**Dept. of Atmospheric Sciences**

***2017 NCSA Blue Waters Symposium***

# I. Introduction

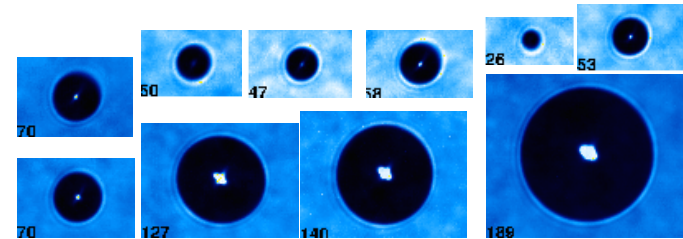
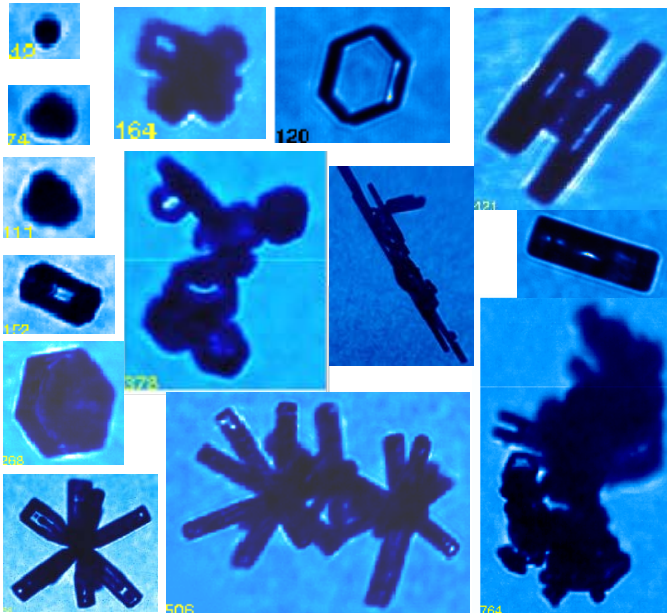


Images from NASA



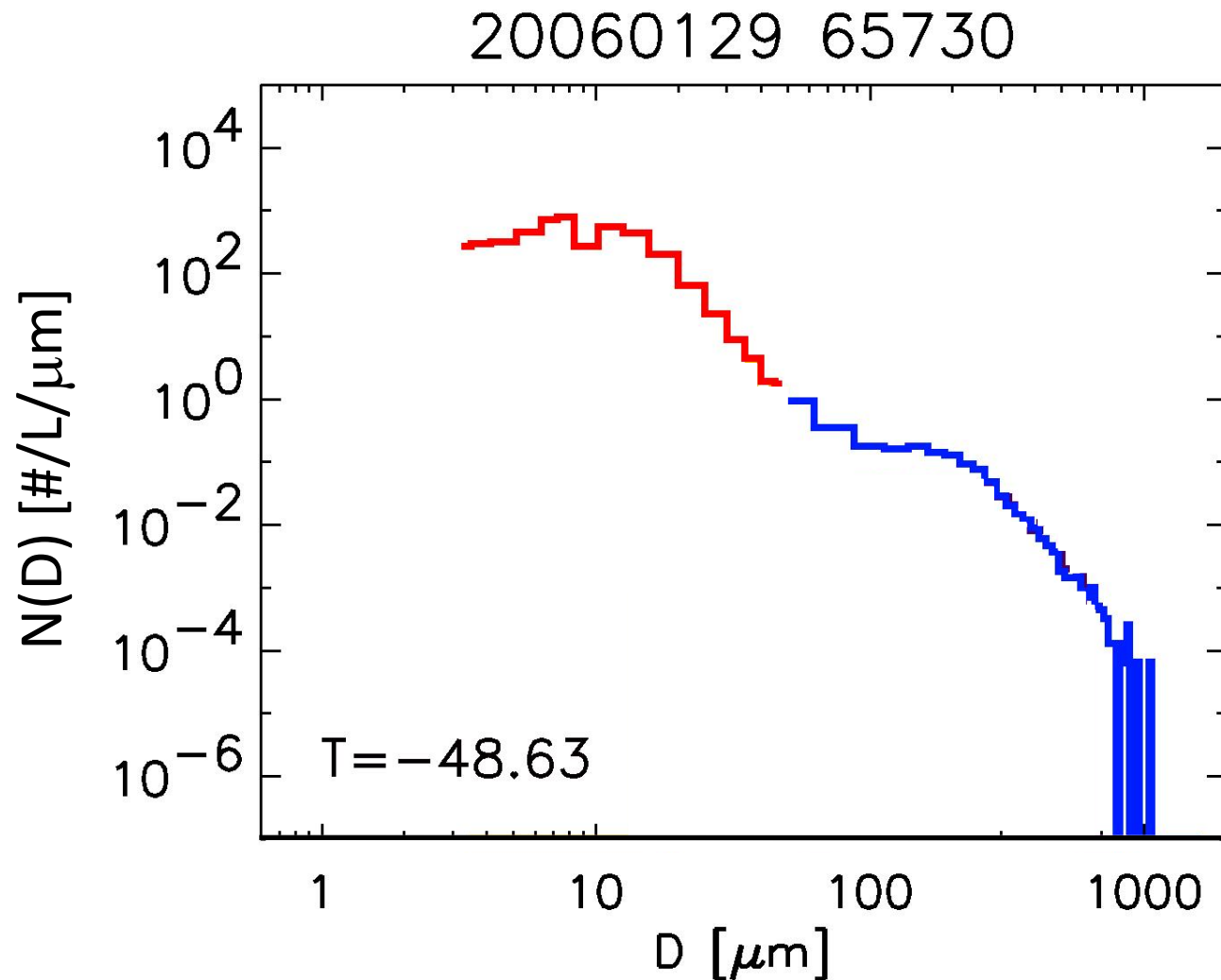
- Sun, energy source
- Sun – cloud interaction
  - reflection
  - absorption
  - transmission
- Cloud properties vary
  - space & time
- For climate system
  - knowledge of cloud radiative properties





- Cloud radiative properties depend on particle shape & size
- Low warm clouds, liquid particles spherical
- High cold clouds, ice particles non-spherical
- Mixed clouds, ice & water coexist

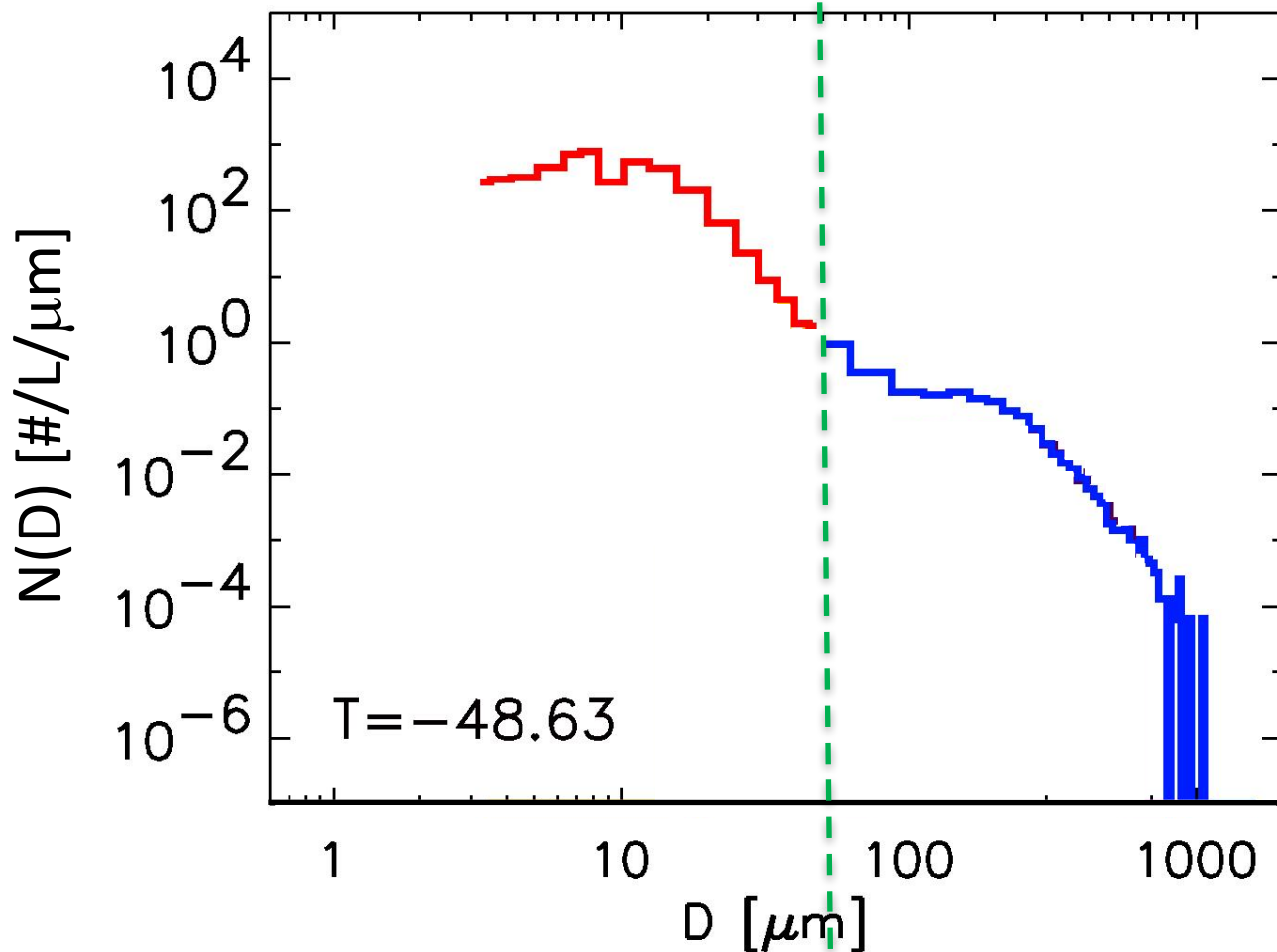
## II. Background



- **Size distribution**  
[#/L/ $\mu\text{m}$ ]  
# of particles in  
given sample  
volume &  
size range (bin),

**Fundamental  
input for  
numerical  
models &  
retrieval  
algorithms!**

20060129 65730



- **Size distribution**  
**[#/L/ $\mu\text{m}$ ]**  
**# of particles in**  
**given sample**  
**volume &**  
**size range (bin),**

**Fundamental**  
**input for**  
**numerical**  
**models &**  
**retrieval**  
**algorithms!**

Forward scattering probe

Optical array probe

FSSP, CDP, CAS, CPSPD, ...

$\sim 50 \mu\text{m}$

2D-C/P, CIP, 2DS, PIP, ...

## ■ Forward scattering probes

- convert intensity of scattered light measured in specific angles (e.g., 4-12° and/or 168-176°) to particle size (Mie scattering)
- **shape (“sphere”)** and refractive index of particle
- forward scattering spectrometer probe (FSSP), Knollenberg (1972)
- 1 – 50  $\mu\text{m}$
- many different probes

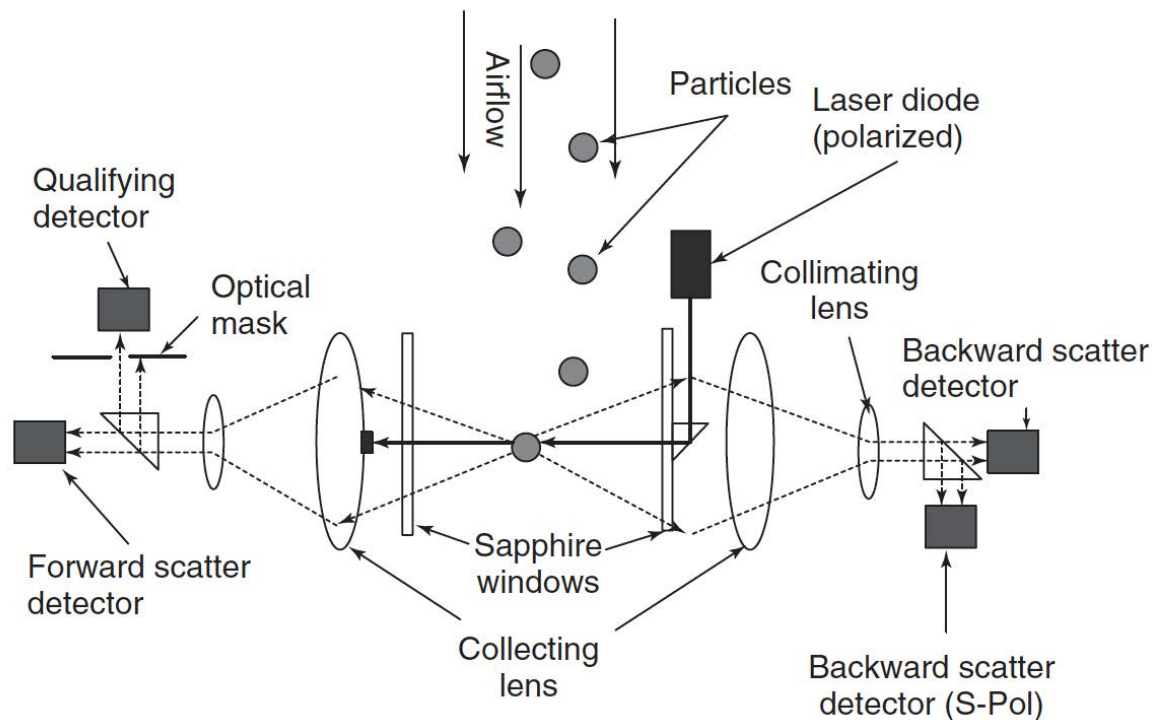
FSSP, CDP, CAS,

CAS-DPOL,

CPSPD,

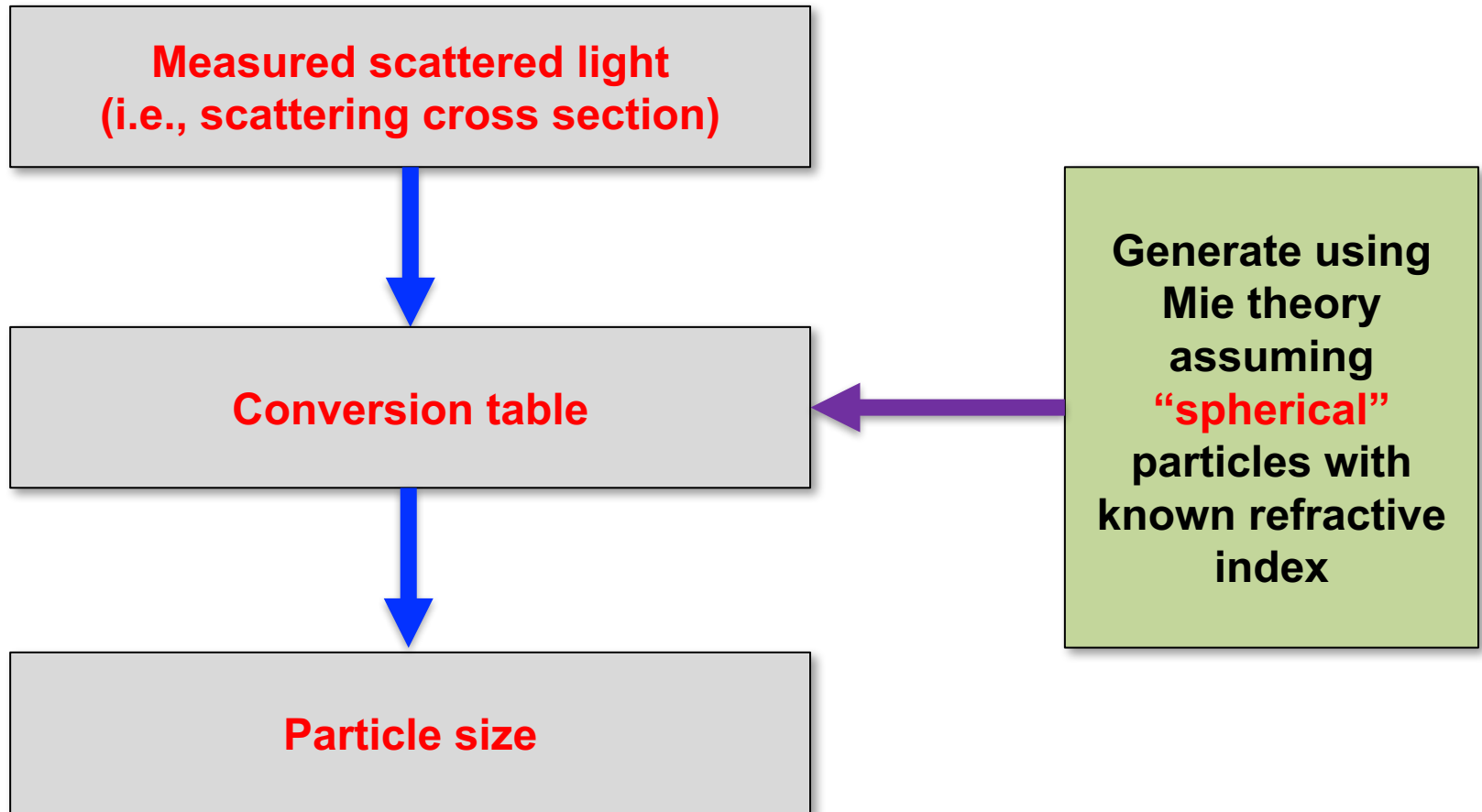
Fast FSSP (FFSSP),

Fast CDP (FCDP)



- **Forward scattering probes**

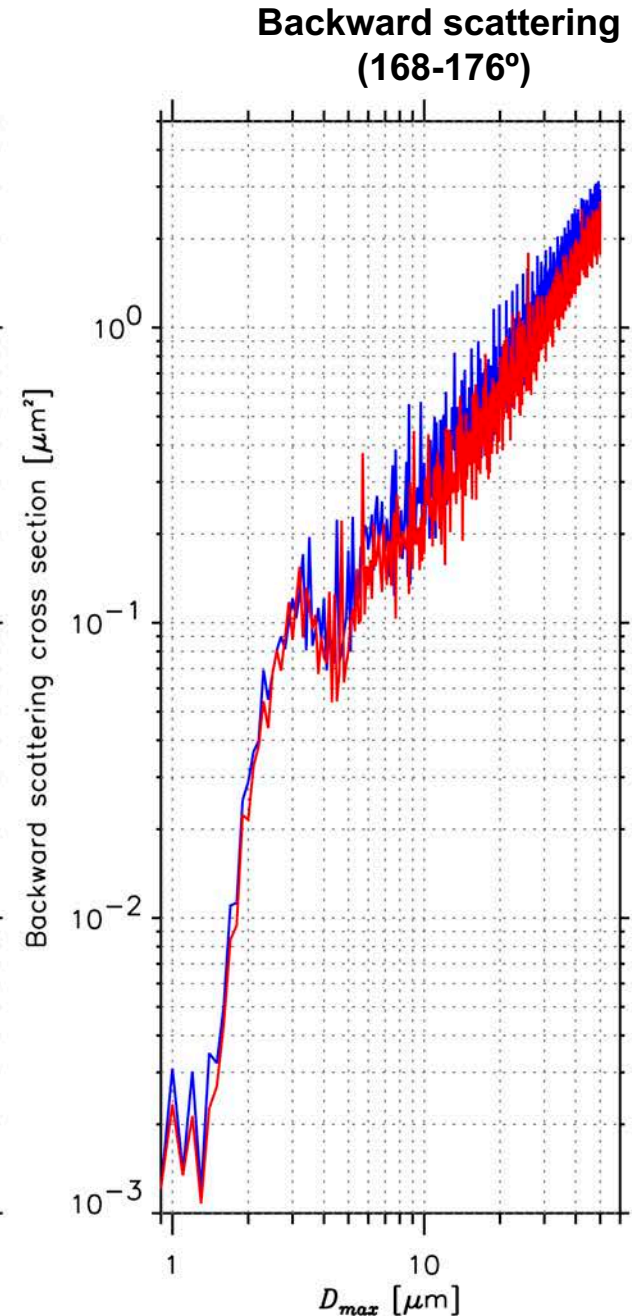
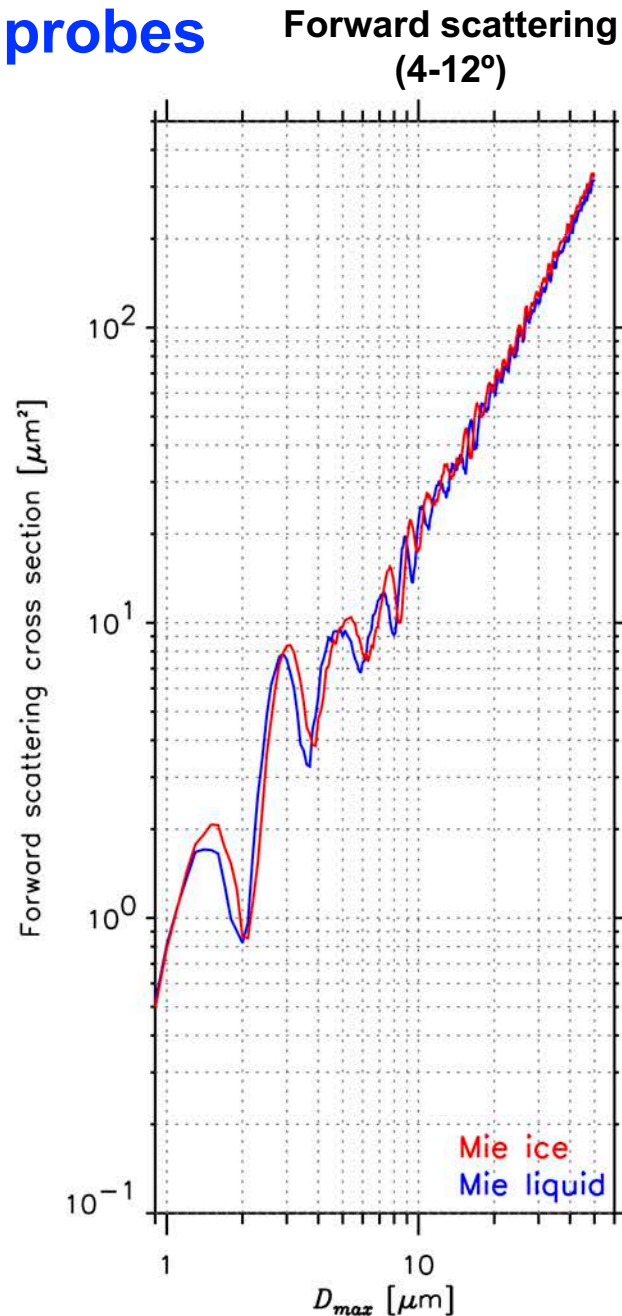
## Operating principle



## ■ Forward scattering probes

- Spherical shape
- Different refractive index

ice ( $n_r=1.31$ ) &  
liquid ( $n_r=1.33$ )



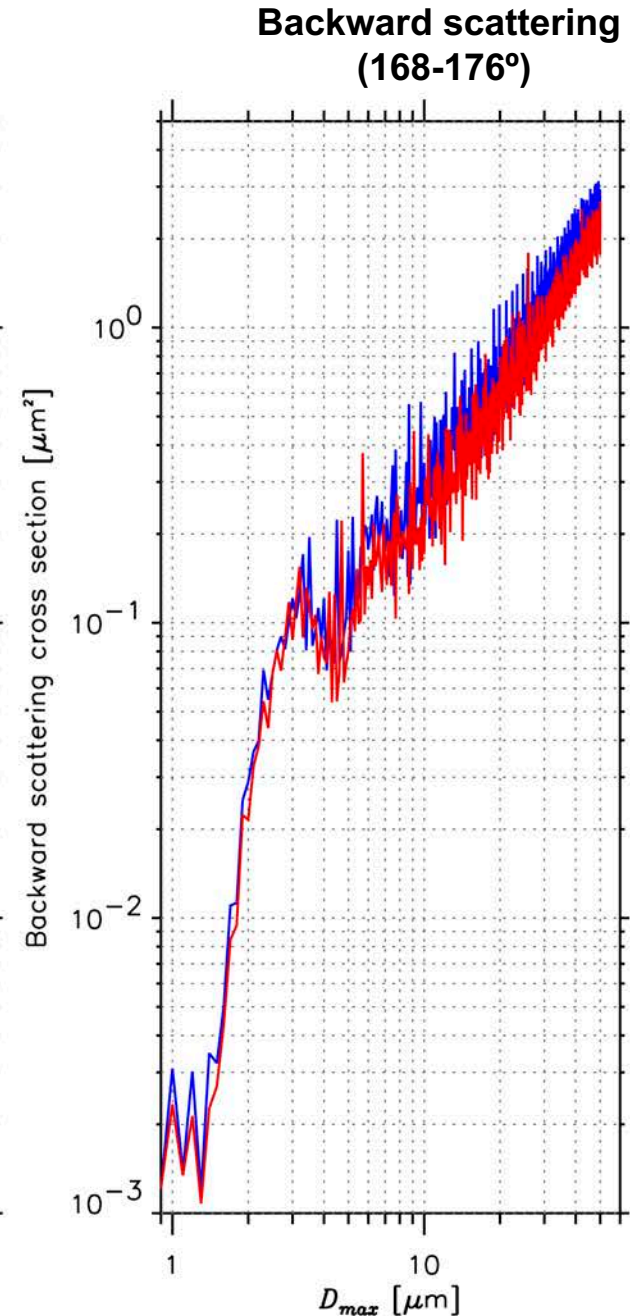
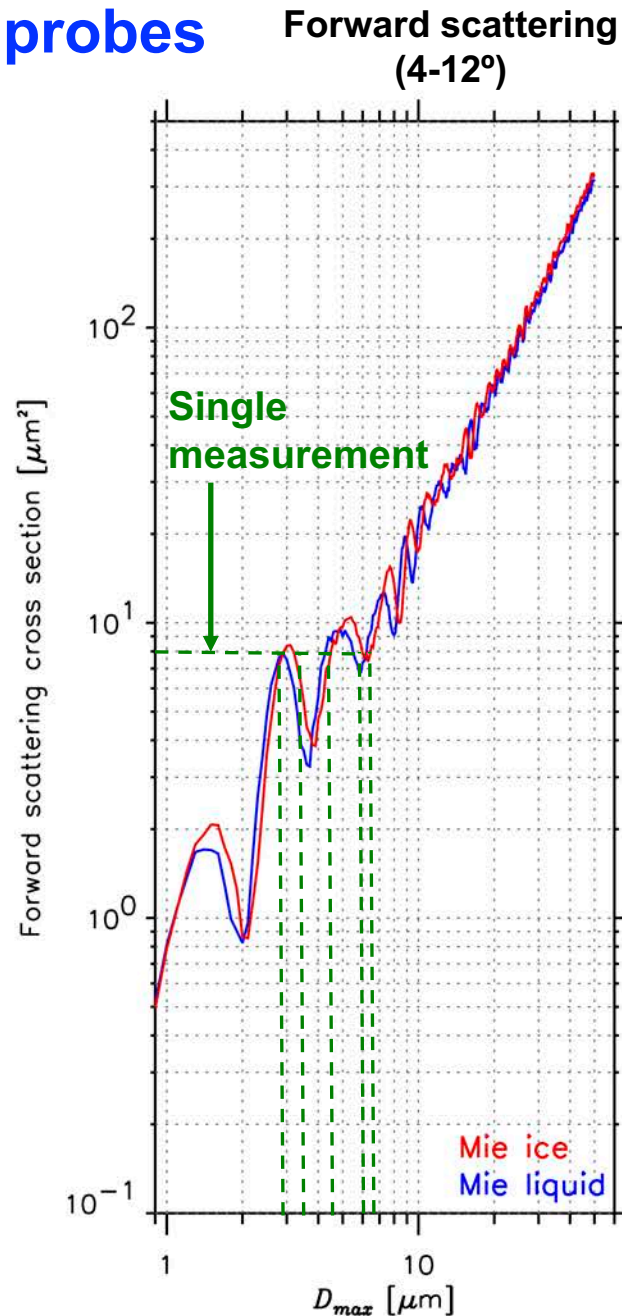


## ■ Forward scattering probes

- Spherical shape
- Different refractive index  
ice ( $n_r=1.31$ ) &  
liquid ( $n_r=1.33$ )
- Multiple solutions  
(i.e.,  $D_{max}$ )  
for scat. cros. sec.

This is known problem  
(<50%) due to spherical  
shape.

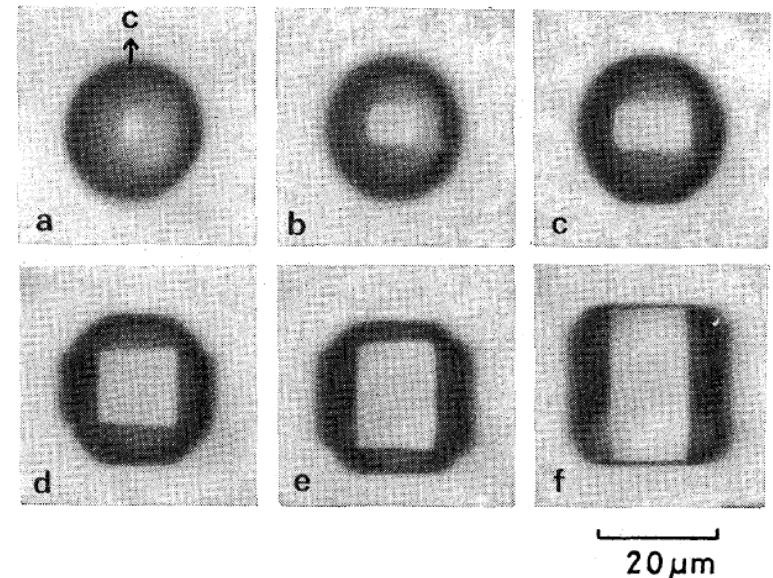
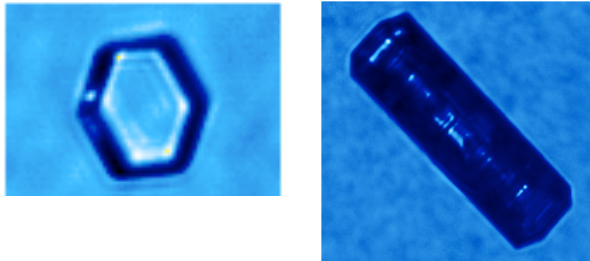
Nonspherical shape?



### III. Key Challenge

#### ■ Nonspherical ice crystals

- ice crystals can be spherical shape (fresh, homogeneous freezing), most cases nonspherical shapes
- hexagonal shapes are fundamental (hexagonal lattice structure)
- 60% hexagonal shapes (AIDA chamber experiment, Schnaiter et al. 2012)



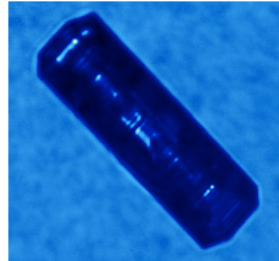
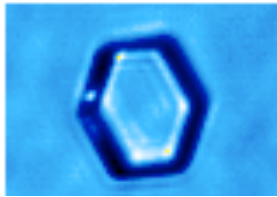
- Spherical frozen droplet becomes hexagonal column crystal
- Aspect ratio ( $L/W$ ) depends on  $T$
- Gonda and Yamazaki (1984)

Fig. 1 An example of ice crystals grown from frozen cloud droplets at  $-15^{\circ}\text{C}$  and a supersaturation of 1–2%.  
(a) 2.0, (b) 2.2, (c) 2.7, (d) 3.2, (e) 4.0, (f) 4.7 min.

### III. Key Challenge

- **Nonspherical ice crystals**

- ice crystals can be spherical shape (fresh, homogeneous freezing), most cases nonspherical shapes
- hexagonal shapes are fundamental (hexagonal lattice structure)
- 60% hexagonal shapes (AIDA chamber experiment, Schnaiter et al. 2012)



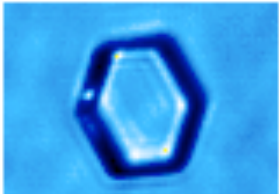
- Few studies (Borrmann et al. 2000; Meyer 2012) have tested uncertainty in forward scattering probes due to nonsphericity of ice crystals, **show < 20% error** based on *T*-matrix calculations using **cylinder or spheroid!**

- Impact of nonsphericity have to be quantified with realistic shape!

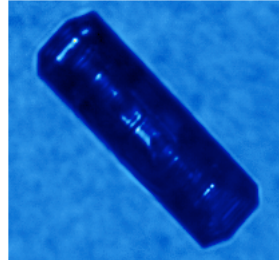
DDA cal.

Aspect Ratio (AR) =  $L / W$

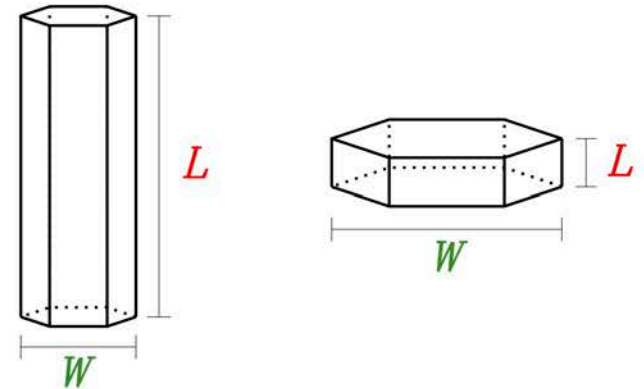
AR = 0.10, 0.25, 0.50, 1.00, 2.00, 4.00



Thin Plate



Long Column

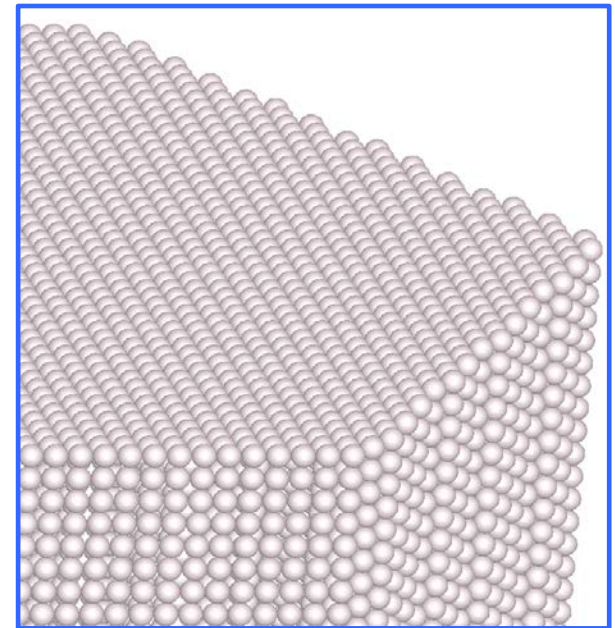
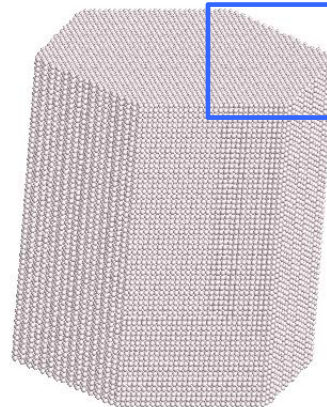


width,  $W$ : up to 20  $\mu\text{m}$   
length  $L$ : up to 48  $\mu\text{m}$

- Amsterdam Discrete Dipole Approximation (ADDA)
- Um and McFarquhar (2015, JQSRT)
- Um et al. (2015, ACP)

- Scattering phase matrix
- Forward & backward scattering cross section of CAS

- Assign each or group of dipoles to cores of BW

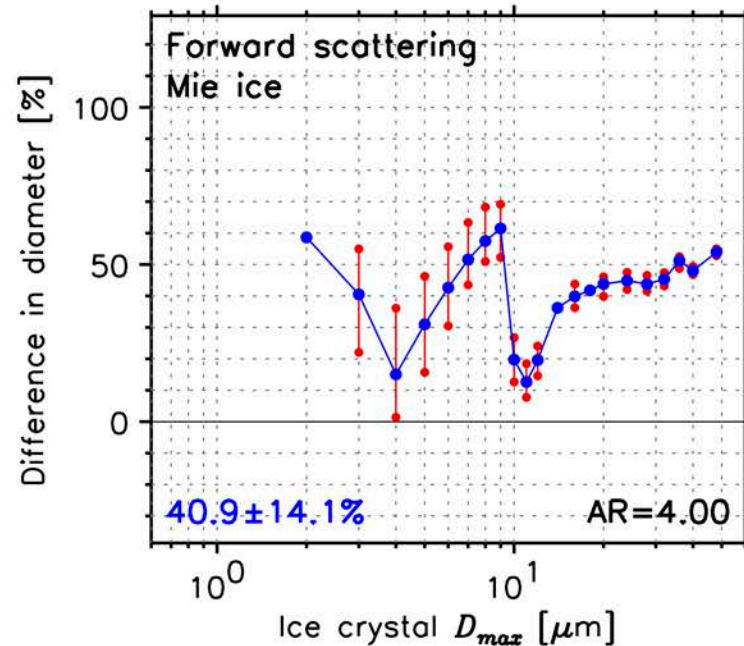




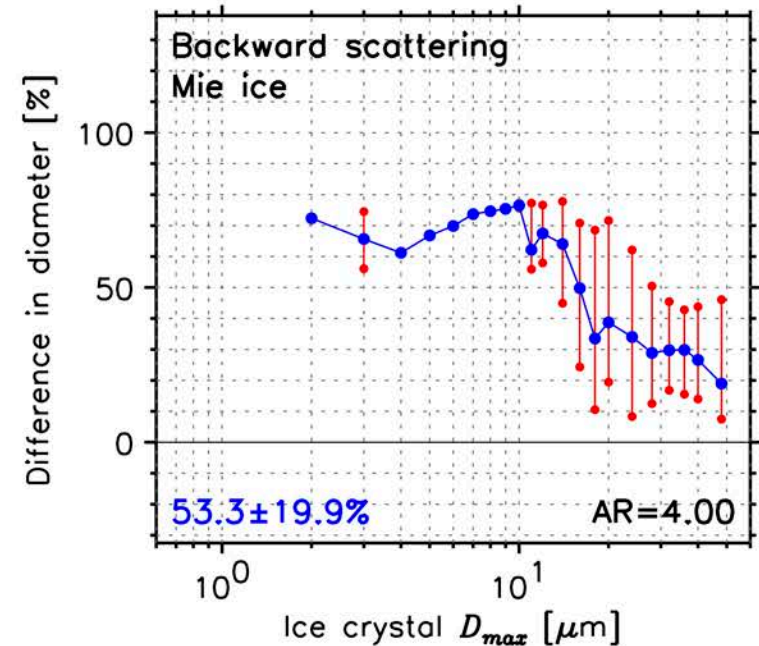
## **IV. Accomplishments**

**New conversion tables  
Impact of orientation**

### Forward scattering (4-12°)

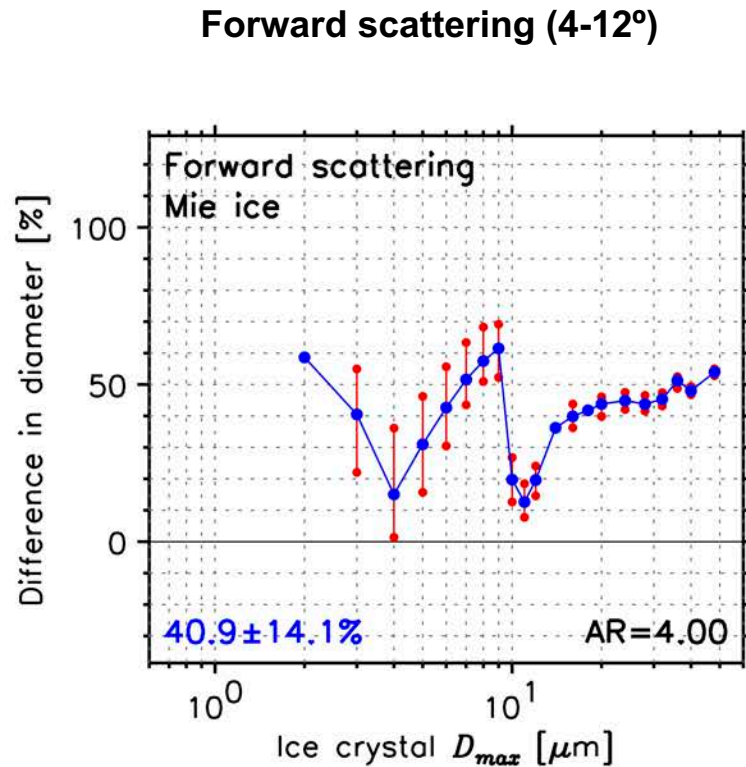


### Backward scattering (168-176°)



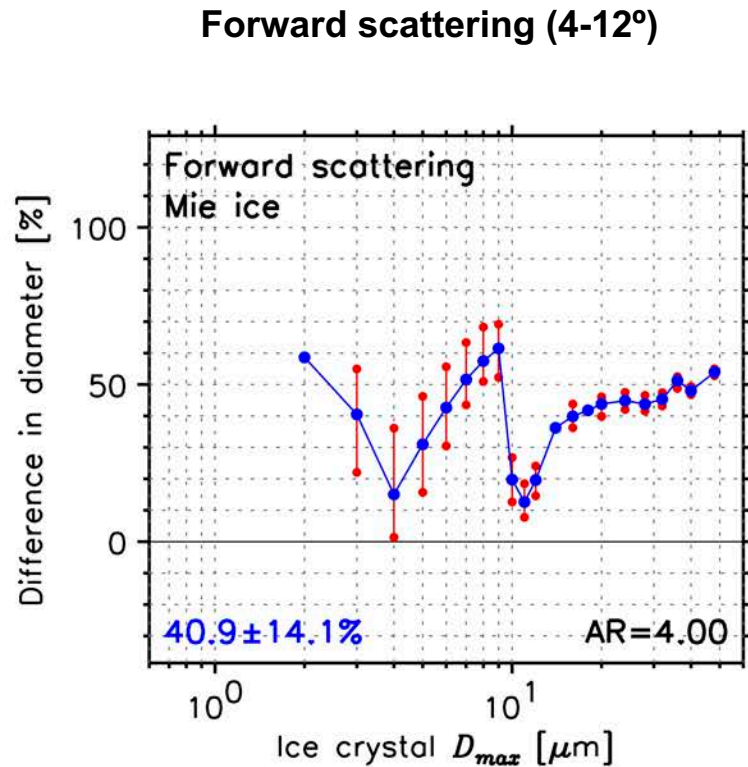
Baumgardner et al. (2017)

- Difference in diameter b/n Mie ice and nonspherical crystals, **mean+stddev**
- Up to **72% (170%)** in forward (backward) scattering direction
- Increase with nonsphericity (i.e., departure from compact shape)
- Larger than those (<20%) with spheroid or cylinder



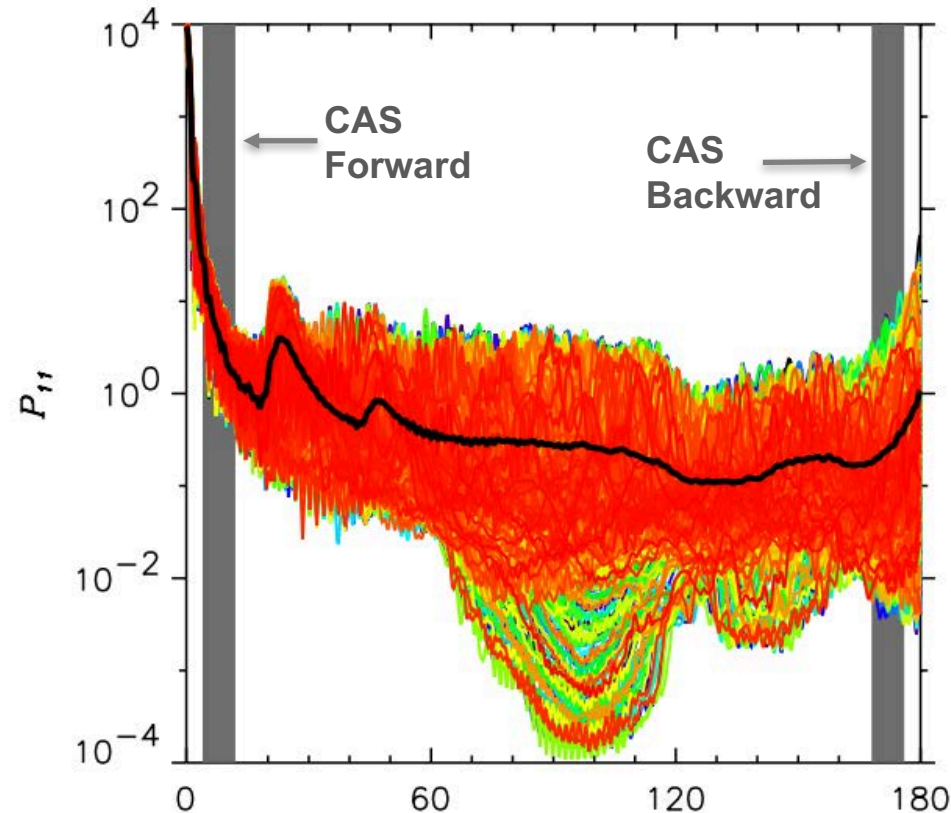
Baumgardner et al. (2017)

- **Impact of particle orientation**
- Scattering properties of nonspherical particle depend on its orientation
- Measurement < 1.0  $\mu\text{sec}$
- Certain orientation!

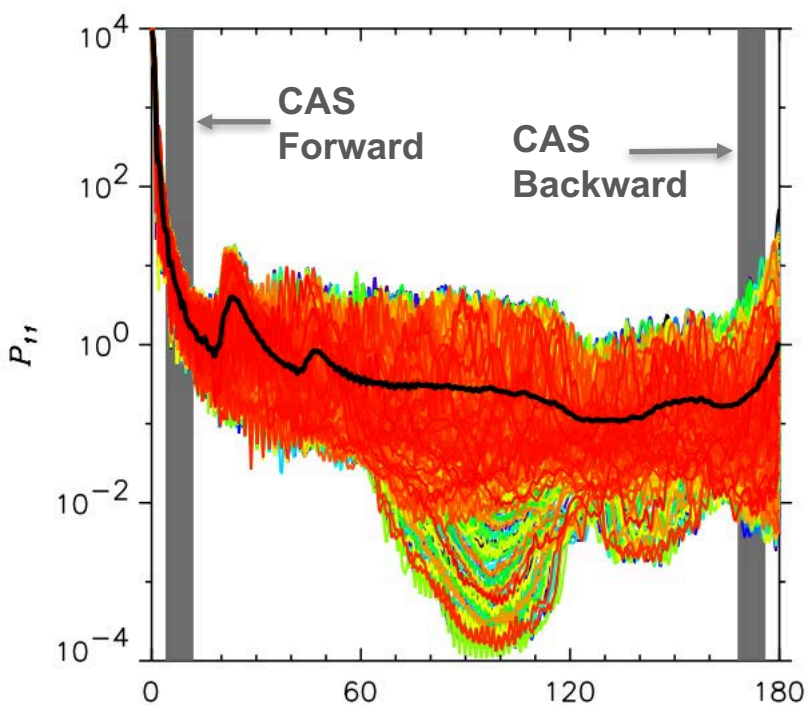


Baumgardner et al. (2017)

- **Impact of particle orientation**
- **Scattering properties of nonspherical particle depend on its orientation**
- **Measurement < 1.0  $\mu\text{sec}$**
- **Certain orientation!**
- **Large variations in  $P_{11}$**







- **Impact of particle orientation**

- Scattering properties of nonspherical particle depend on its orientation

- Measurement  $< 1.0 \mu\text{sec}$

- Certain orientation!

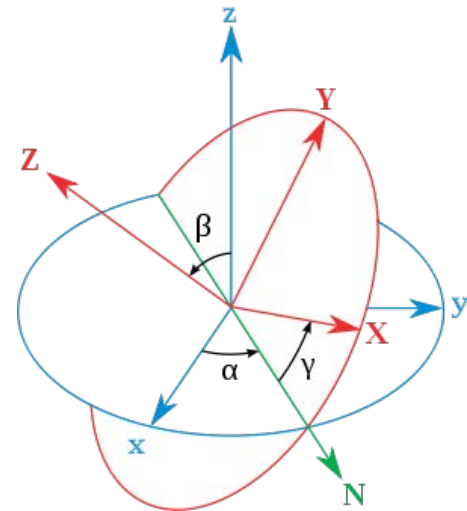
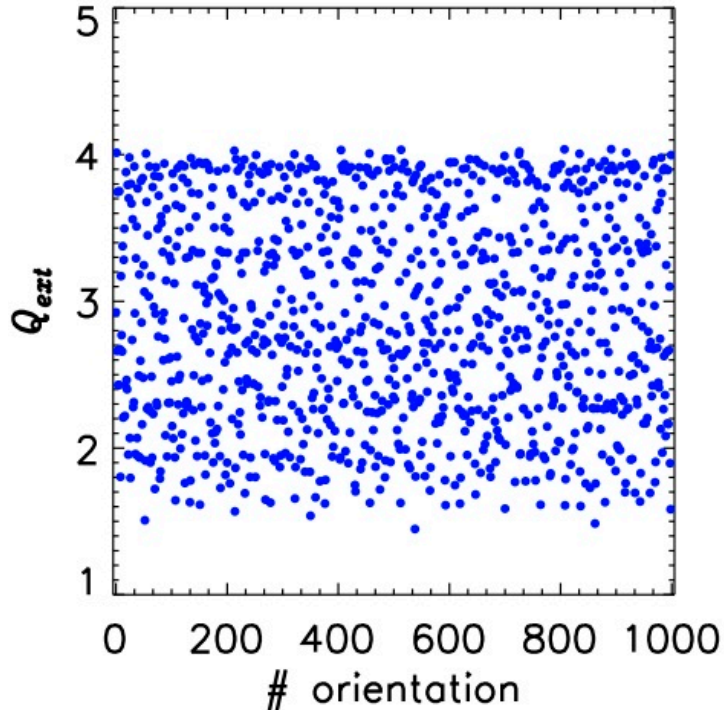
- Large variations in scattering properties

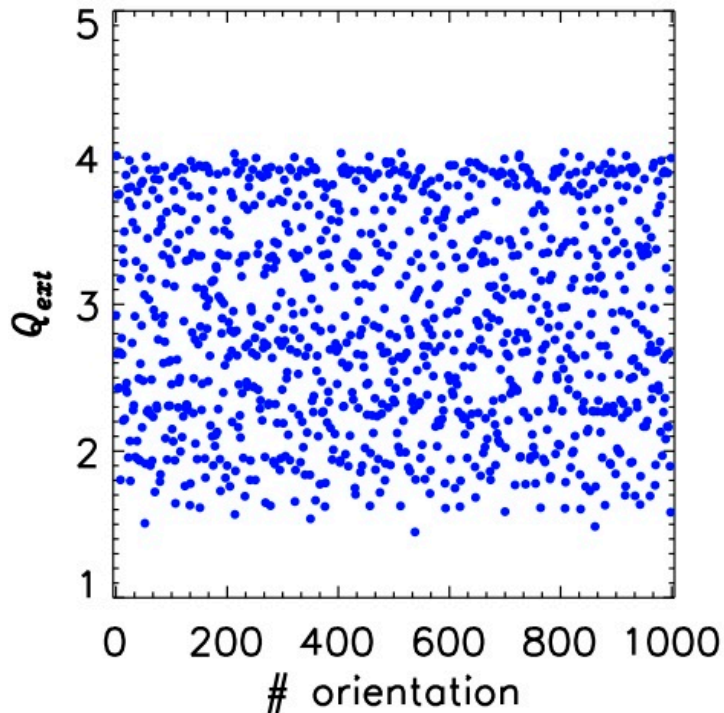
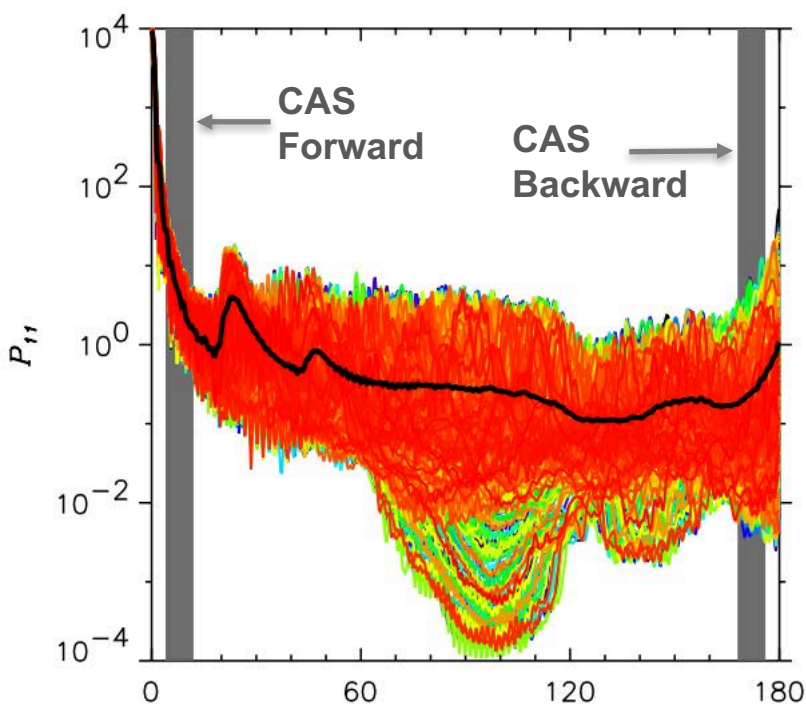
- Euler  $\alpha, \beta, \gamma$  for orientation

- Quasi Monte Carlo method

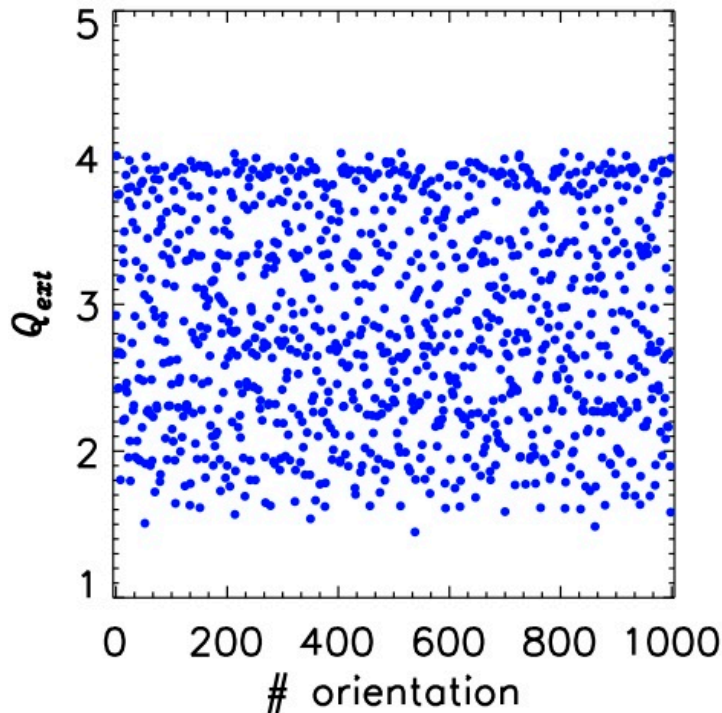
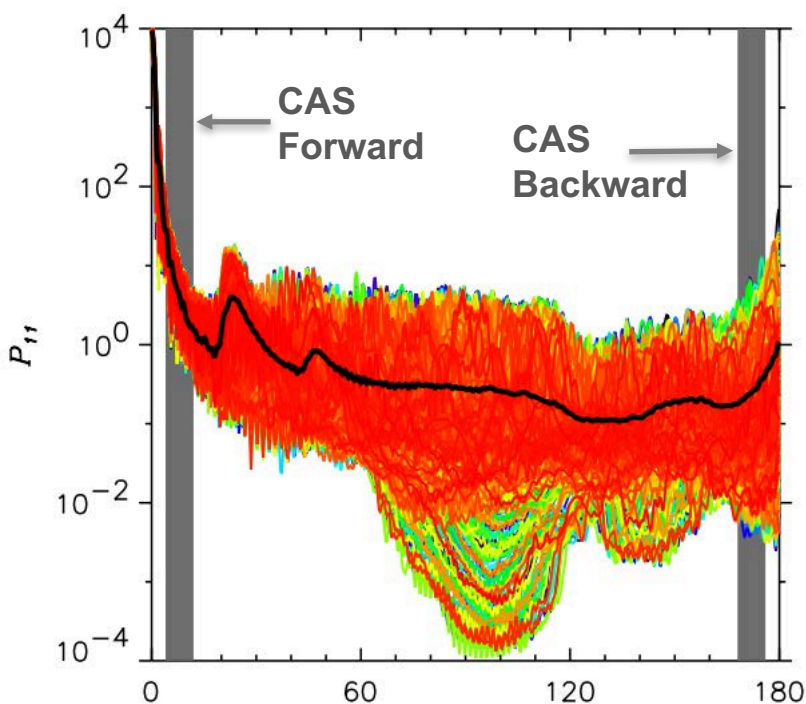
- 1000 selections of  $\beta$  &  $\gamma$

- Um and McFarquhar (2013; 2015)





- **Impact of particle orientation**
- **Scattering properties of nonspherical particle depend on its orientation**
- **Measurement < 1.0  $\mu\text{sec}$**
- **Certain orientation!**
- **Large variations in scattering properties**
- **Euler  $\alpha, \beta, \gamma$  for orientation**
- **Quasi Monte Carlo method**
- **1000 selections of  $\beta$  &  $\gamma$**
- **Um and McFarquhar (2013; 2015)**
- **$L=48 \mu\text{m}$ ,  $W=12 \mu\text{m}$**
- **45 BW XE nodes**
- **$\sim 28$  hours/orientation (**hard to get discount!**)**
- **460 GB mem/orientation**
- **$45 \times 28 \times 1000 = 1.26 \text{ M node hours}$**

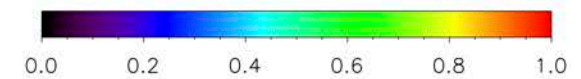
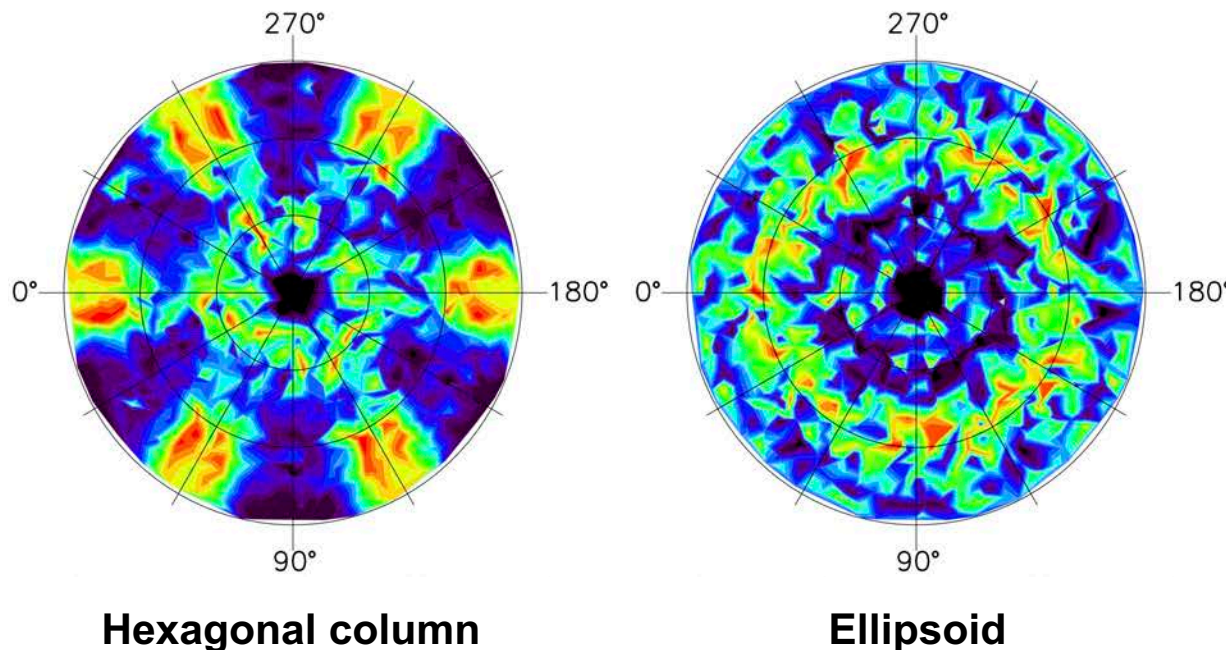


- **Impact of particle orientation**
- **Scattering properties of nonspherical particle depend on its orientation**
- **Measurement < 1.0  $\mu\text{sec}$**
- **Certain orientation!**
- **Large variations in scattering properties**
- **Euler  $\alpha, \beta, \gamma$  for orientation**
- **Quasi Monte Carlo method**
- **1000 selections of  $\beta$  &  $\gamma$**
- **Um and McFarquhar (2013; 2015)**
- **$L=48 \mu\text{m}, W=12 \mu\text{m}$**
- **45 BW XE nodes**
- **$\sim 28$  hours/orientation (**hard to get discount!**)**
- **460 GB mem/orientation**
- **$45 \times 28 \times 1000 = 1.26 \text{ M node hours}$**
- **Errors, up to **515% (790%)** in forward (backward) scattering direction due to impact of orientation!**



# Summary & Future Work

- Up to **72% (170%)** in forward (backward) scattering direction, increase with nonsphericity (i.e., departure from compact shape)
- Up to **515% (790%)** in forward (backward) scattering direction due to orientation of nonspherical shape
- **New conversion table is required!**
- **Building new conversion table (+ polarization) using BW**



Linear depolarization ratio

$AR=4.0$   
 $L=10\ \mu\text{m}$   
 $W=2.5\ \mu\text{m}$



## ■ Forward scattering probes

- measured intensity of scattered light is “differential scattering cross section”

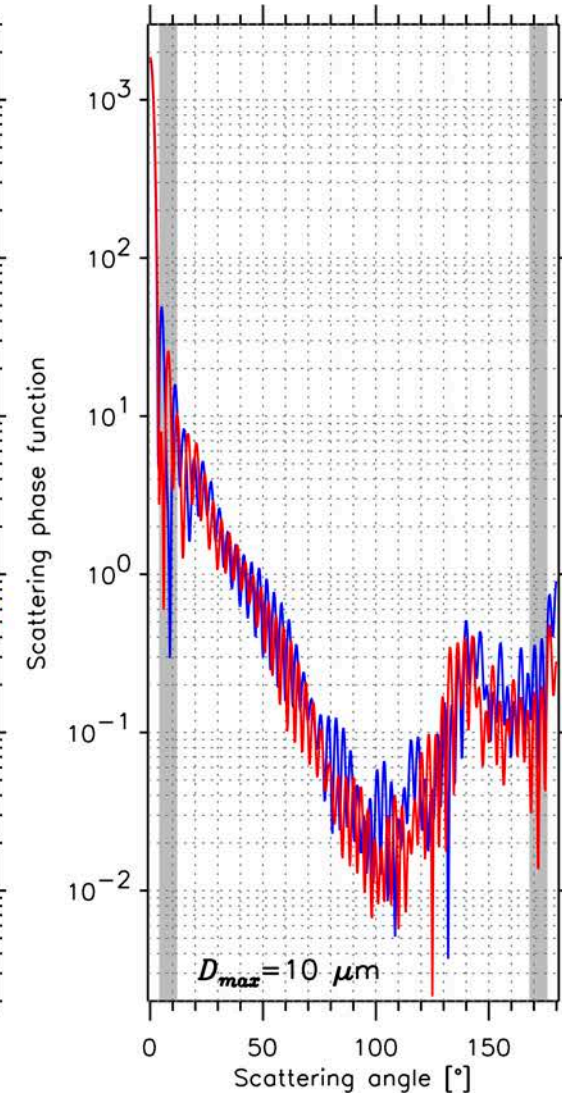
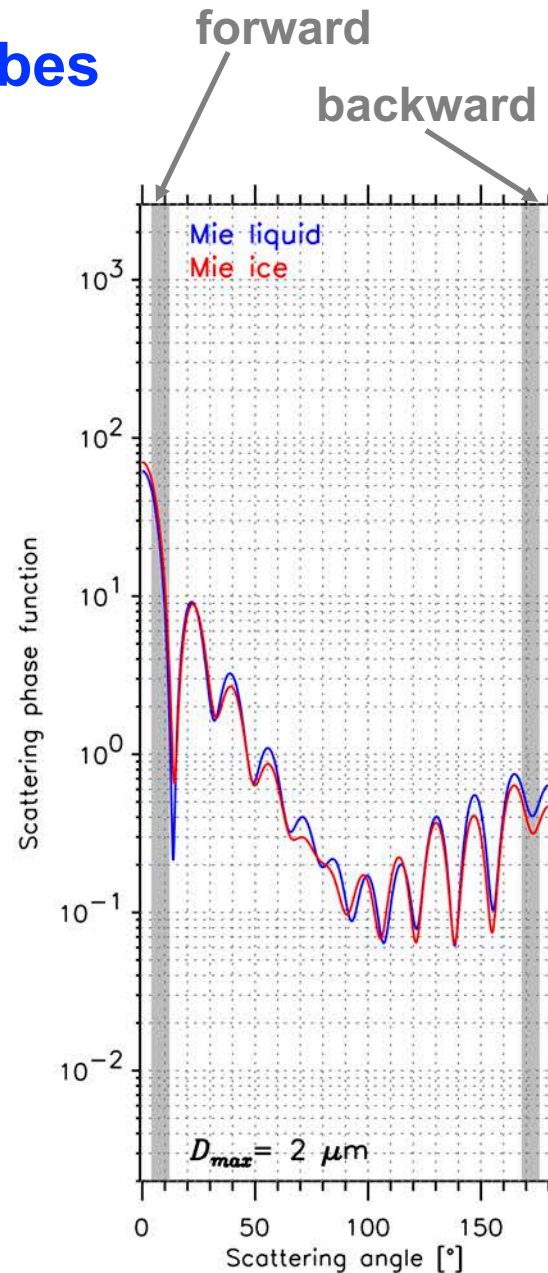
$$C_{sca,\theta} = \frac{1}{k^2} \int_0^{2\pi} \int_{\theta_1}^{\theta_2} P_{11} \sin(\theta) d\theta d\phi$$

### - CAS

$\theta_1=4^\circ$  &  $\theta_2=12^\circ$  forward

$\theta_1=168^\circ$  &  $\theta_2=176^\circ$  backward

- $P_{11}$ : scattering phase function



## Forward scattering probes

Convs. table

- spherical shape
- different refractive index

ice ( $n_r=1.31$ ) &  
liquid ( $n_r=1.33$ )

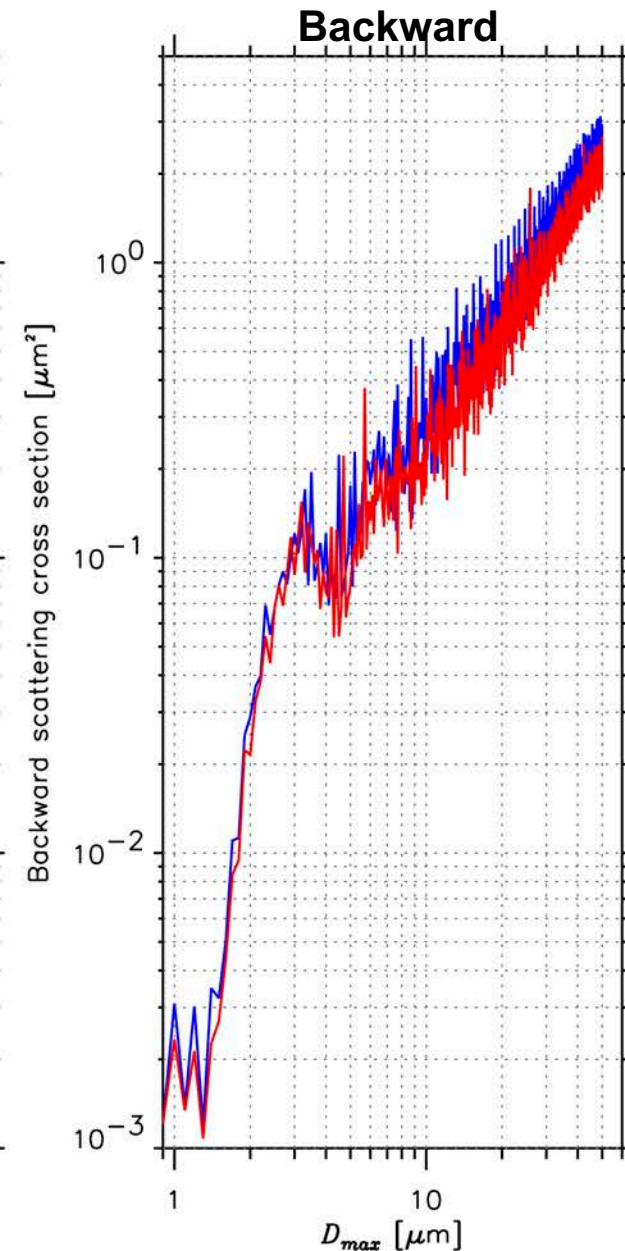
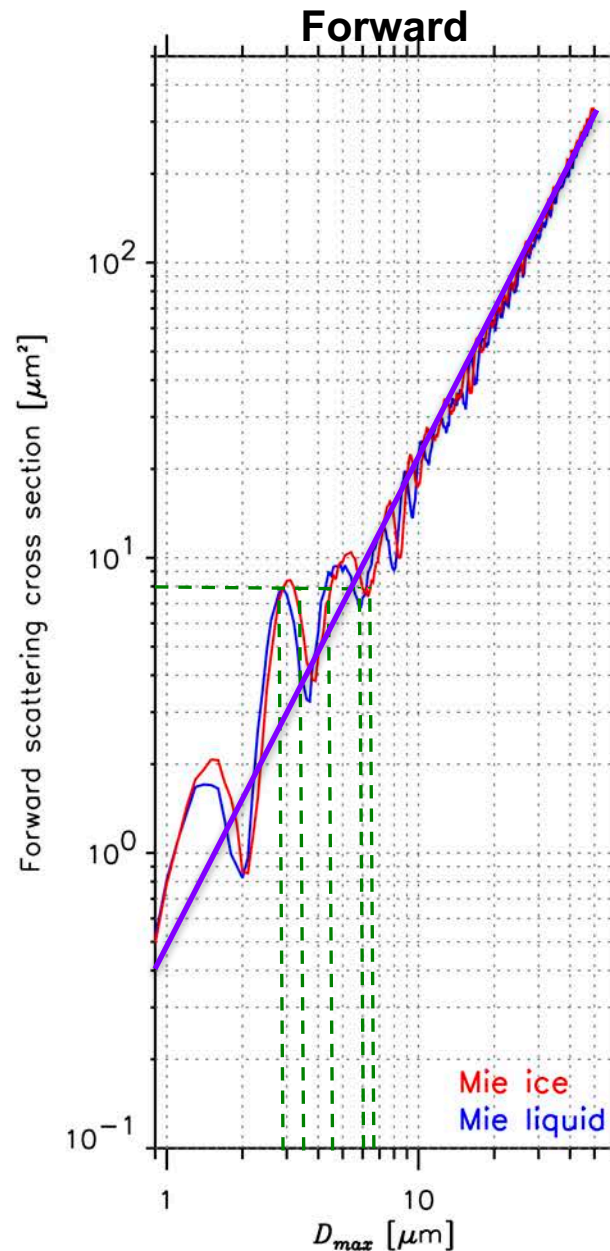
- multiple solutions

(i.e.,  $D_{max}$ )

scat. cros. sec.

$D_{max} < 10 \mu\text{m}$

- linear fitting,
- avg. over solutions



## Forward scattering probes

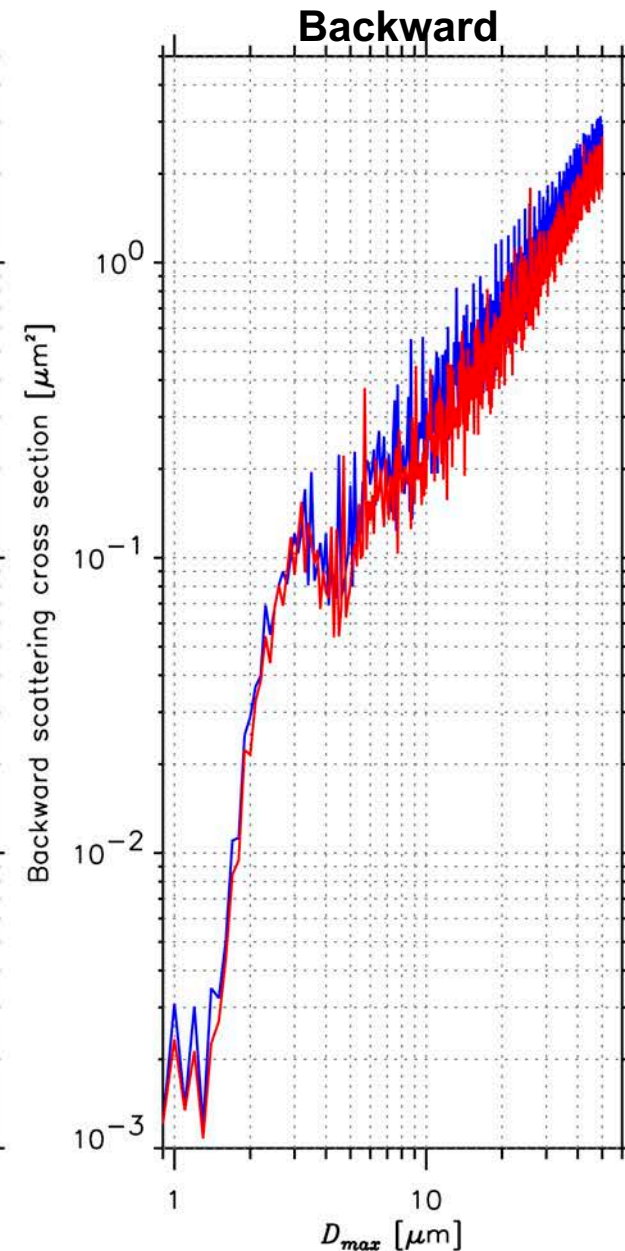
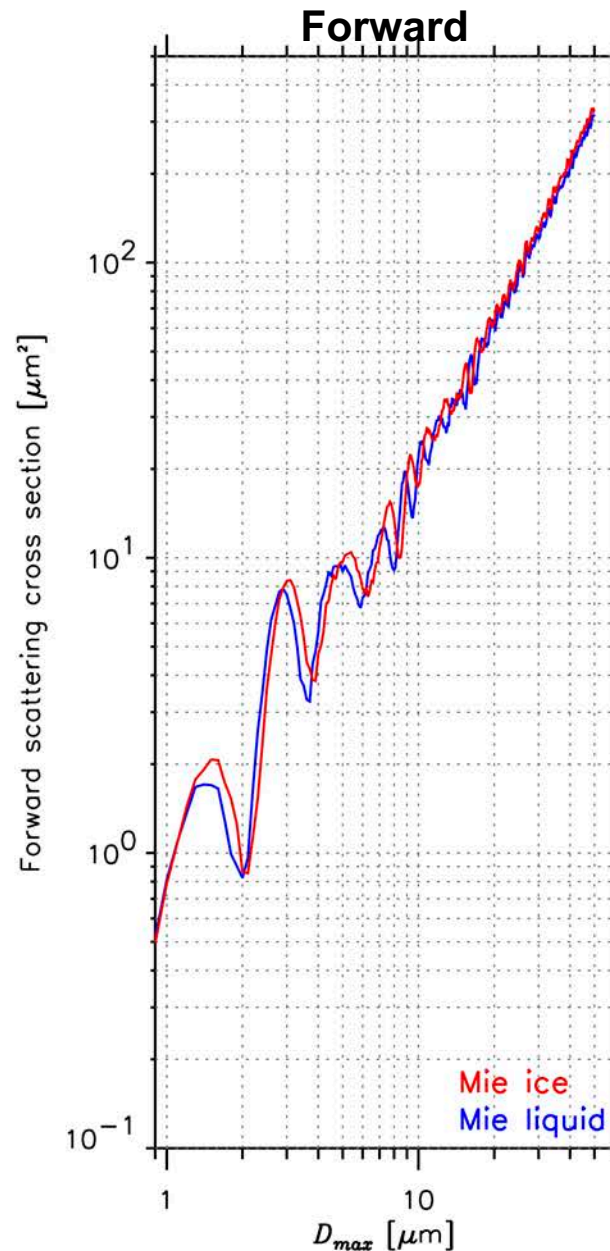
Convs. table

- Errors due to Mie scat.  
even for spherical shape
  - $> \pm 20\%$  ( $D_{max} < 10 \mu\text{m}$ )
  - $< 10\%$  ( $10 < D_{max} < 30 \mu\text{m}$ )
  - $< 15\%$  ( $D_{max} > 30 \mu\text{m}$ )
- using “best fitting curve”

This is known errors due  
to Mie scattering of  
“spherical” particles.

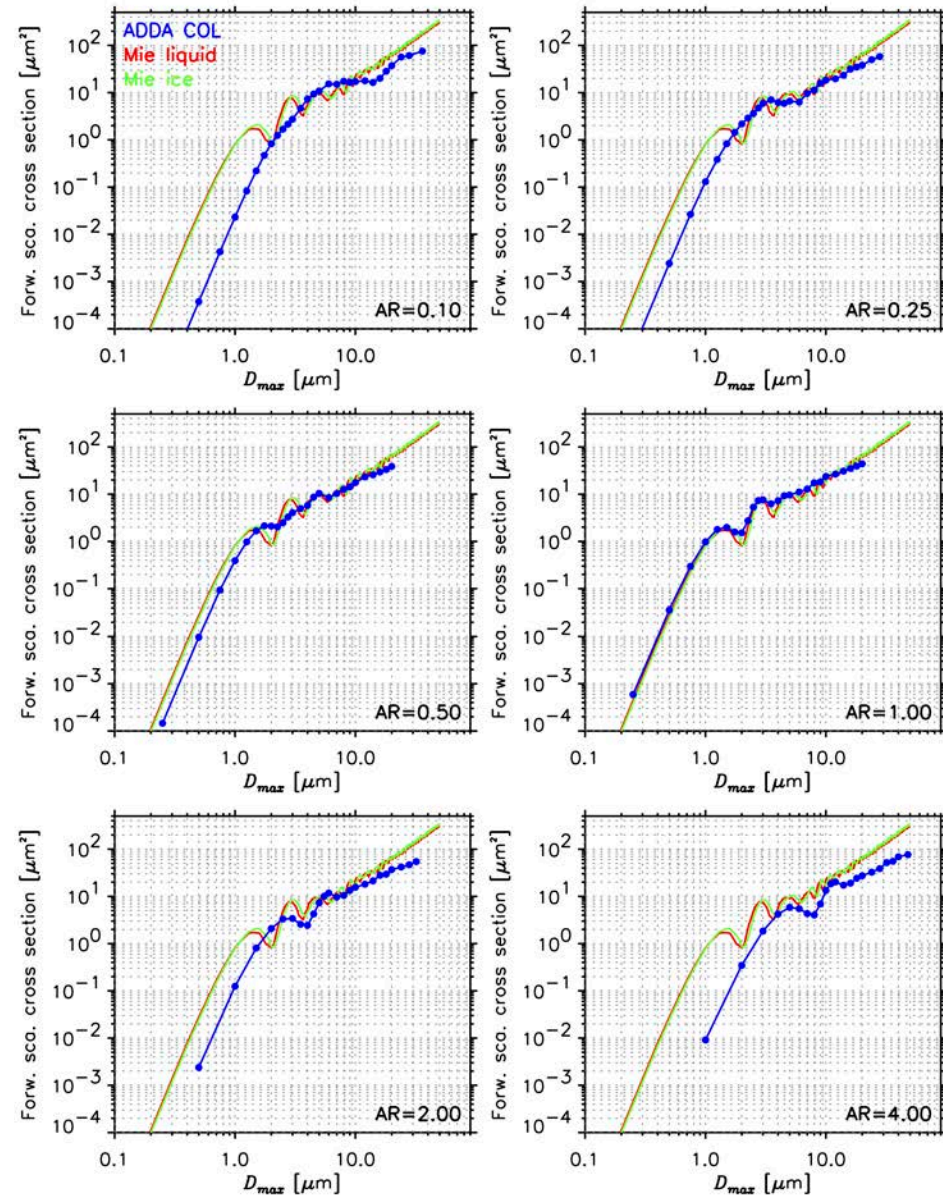
What about ice crystals?

“Non-spherical!”

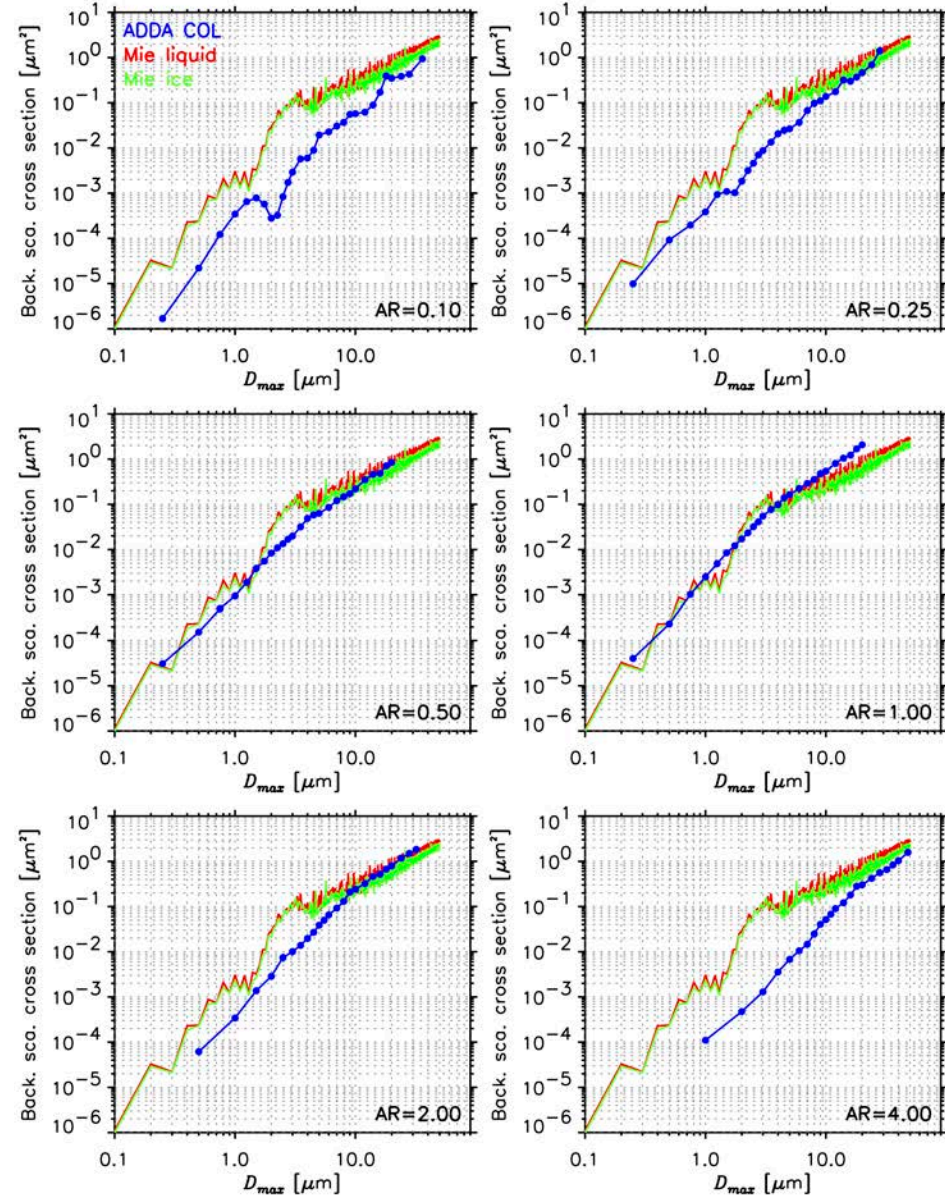




## Forward scattering



## Backward scattering



- Nonspherical crystal, Mie sph. liquid, Mie sph. ice, different AR for each panel